PATENT

Docket No. 35103-018001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Pa	atent Application	
Applicant:	Hauk, et al.)
Serial No.:	09/540,601	I hereby certify that this AMENDMENT UNDER 37 C.F.R. §1.115 is being sent via facsimile transmission to the United States Patent and Trademark Office to fax number (703) 305-7687 on July 3, 2002.
Filed:	March 31, 2000	
For:	VIRTUAL TRADING FLOOR SYSTEM	
Examiner:	Richard Fults) By:
Art Unit:	2768)
		,

SECOND PRELIMINARY AMENDMENT

Via Facsimile (703) 305-7687

BOX NO FEE AMENDMENT Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Applicants respectfully submit the following amendment to the above referenced patent application and request that the application be considered in view of the same.